



**STORM WATER POLLUTION PREVENTION PLAN (SWPPP)
COMPLIANCE EVALUATION FORM for UPDES
COMMON PLAN PERMIT (CPP) UTRH000000**

Site Name: _____ UPDES Permit #: _____

SECTION 1: Instructions for SWPPP Evaluations

- 1) The SWPPPs being reviewed with this document are evaluated for their compliance with the corresponding UPDES construction storm water discharge permit; for additional information on those permits, go to the DWQ construction storm water permitting webpage: <https://deq.utah.gov/water-quality/general-construction-storm-water-updes-permits>.
- 2) The appropriate permit is identified by the applicant during permitting but must be confirmed by evaluation in Section 2 of this form (see below), to demonstrate applicability of coverage under either of the UPDES construction storm water permits:
 - a) Construction General Permit (CGP), UTRC00000 (viewable through this [link](#))
 - b) Common Plan Permit (CPP), UTRH00000 (viewable through this [link](#))
- 3) If the appropriate UPDES permit has been selected for coverage and the SWPPP has been submitted for review with a complete application, then the SWPPP evaluation must move forward; use the "SWPPP Review #" field to document whether it is the 1st, 2nd (or more) evaluation, and use the "Review Start Date" and "Review Complete Date" fields in Section 3 to track the timeliness of reviews.
- 4) Per Utah Code [Title 19-Chapter 5-Section 108.3\(6\)\(b\)](#), the SWPPP reviewer shall complete the first review of the SWPPP within 14 business days after the day on which the applicant submits a complete SWPPP and application for local storm water permit coverage (if local permit coverage is required).
- 5) A "No" answer for any questions in the following SWPPP Evaluation will amount to an incomplete SWPPP and will be returned for modification. UPDES Permit citations are provided in **(parenthesis)**. Questions answered "N/A" (not applicable) do not affect the approval of the SWPPP. The final question on both forms is an internal question (regarding priority status) for MS4s and does not affect approval.
- 6) Per Utah Code [Title 19-Chapter 5-Section 108.3\(6\)\(c\)](#), any non-compliance in the SWPPP (which requires modification to bring the SWPPP into compliance) requires a specific request for modification to be provided to the applicant; such requests must be thorough such that they will bring the SWPPP into compliance upon correction, and must include citations to local ordinances or state/federal law that require the modification. Furthermore, these requested modifications must be logged in an index of requested modifications. Space is provided for listing modifications or can be attached separately.
- 7) Per Utah Code [Title 19-Chapter 5-Section 108.3\(6\)\(d\)](#), the SWPPP reviewer has 5 business days after the day on which the operator submits the modified SWPPP to complete the review of the SWPPP. Once the review of the plan is complete, the reviewer must notify the applicant, in writing, whether the authorization to discharge is granted.

NOTE: Pre-Construction SWPPP Review Checklists are a requirement of all UPDES MS4 Permits (Part 4.2.4.3). As such, utilizing this SWPPP Evaluation forms will meet that requirement. Operators and SWPPP Developers can utilize this form to ensure compliance prior to submitting.

SECTION 2: Confirmation of Appropriate UPDES Construction Storm Water Permit Coverage

- 1) Will the project disturb at least 1-acre of land? **(CGP Part 1.1.2 and CPP Part 1.1)** Yes ☐ | No ☐
- 2) Is the project part of a Common Plan of Development or Sale (CPoD) that will collectively disturb at least 1-acre of land? **(CGP Part 1.1.2 and CPP Part 1.1)** Yes ☐ | No ☐
- 3) If CPoD, is the lot a single residential lot no more than 1-acre of disturbance? **(CPP Part 1.1)** Yes ☐ | No ☐
N/A ☐

How to determine appropriate UPDES construction storm water permit coverage:

- If "No" to both questions #1 and #2, then **no UPDES construction storm water permit is required.**
- If "Yes" to question #1 and "No" to question #2, then the project **must obtain CGP (UTRC00000) coverage** and the **CGP SWPPP Evaluation form** would be applicable for SWPPP review.
- If "Yes" to both questions #1 and #2, and "No" to question #3, then the project **must obtain CGP (UTRC00000) coverage** and the **CGP SWPPP Evaluation form** would be most applicable for SWPPP review.
- If "Yes" or "No" to question #1 (the threshold for CPP coverage is 1-acre or less) and "Yes" to both questions #2 and #3, then the project **may obtain CPP (UTRH00000) coverage** and **Section 3** of this evaluation form would be applicable for SWPPP review; **however** the CPP allows only one lot per permit, so if multiple lots in the CPoD will be developed, the operator **may choose to obtain separate CPP coverage for each lot or cover multiple lots under one CGP (UTRC00000) permit**, in which case the **CGP SWPPP Evaluation form** would be applicable for SWPPP review.
 - **NOTE: Commercial Common Plans of Development or Sale must be covered under the CGP (UTRC00000).**
- As such, if "No" to question #1, "Yes" to question #2, and "No" to question #3 (**or the project desires to cover multiple 1-acre or less residential lots under a single permit**), then the **CPP (UTRH00000) is not valid** and the project **must obtain CGP (UTRC00000) coverage** and the **CGP SWPPP Evaluation form** would be applicable for SWPPP review.
 - **NOTE: Due to CPP Part 1.1.5 (High Risk Sites), local/state permitting authorities may choose to require a site to obtain CGP (UTRC00000) coverage instead of CPP (UTRH00000) if the project is (1) located within 50 feet of a perennial surface water, or (2) if the site has a steep slope (70% or 35 degrees, or more) with an elevation change from the slope of 10 feet or more (at any point during the time of construction -not including stockpiles). If that is the case, the SWPPP evaluator should identify the site conditions that warrant that distinction/decision:**

- 4) At the completion of Section 2, has the appropriate UPDES Construction Storm Water Permit coverage been verified? **NOTE: If "No", then the SWPPP must be resubmitted with the appropriate permit coverage selected, incorporated into the plan, and obtained (unless reviewing prior to obtaining permit coverage, in which case coverage must be obtained prior to beginning land disturbance).** Yes ☐ | No ☐

If "Yes" to question #4, **complete the review of the submitted SWPPP for the appropriate UPDES Construction Storm Water Permit coverage using the CGP SWPPP Evaluation form (for CGP) or Section 3 of this form (for CPP), respectively. Always fill out a new form for each review cycle, and retain previous forms to document progression.**

Signatures fields are included in the respective SWPPP review sections of this document; always sign and date to ensure timeliness of reviews.

UPDES COMMON PLAN PERMIT (CPP) UTRH00000
STORM WATER POLLUTION PREVENTION PLAN (SWPPP) EVALUATION FORM
SECTION 3

SWPPP Review # (1st, 2nd, 3rd, etc.): _____ Review Start Date: _____ Review End Date: _____

Site Name: _____ UPDES Permit #: _____

Site Address: _____

Local Jurisdiction or County: _____ Total Project Area (acres): _____ Total Disturbed Area (acres): _____

Permit Effective Date: _____ Permit Expiration Date: _____

OPERATOR CONTACT INFORMATION

Operator: _____ Phone: _____ E-mail: _____

On-site Facility Contact: _____ Phone: _____ E-mail: _____

Important Contacts: _____ Phone: _____ E-mail: _____

Owner: _____ Phone: _____ E-mail: _____

COMMON PLAN PERMIT (CPP) SWPPP EVALUATION (with Citations)

- 1) Does the project meet eligibility criteria for the Common Plan Permit, including the 1-acre maximum disturbance, residential land-use stipulation, multiple site coverage applications (requiring a different permit number for each lot), high-risk sites (as determined by the MS4, if applicable), and limitations of the CPoD (common plan purpose not yet achieved)? **(CPP Part 1.1.1.a-d)** Yes ☐ No ☐
- 2) Are the SWPPP contacts listed in the plan, with contact information (name, address, telephone number, email address) for owner, general contractor, or any other party that affects implementation of the SWPPP or has SWPPP responsibilities? **(CPP Part 4.2.1)** Yes ☐ No ☐
- 3) Does the SWPPP list all the anticipated allowable non-storm water discharges at the site, and describe control methods to be utilized to manage those discharges in a manner that will minimize the discharge of pollutants? **(CPP Part 1.2.2)** Yes ☐ No ☐
- 4) Does the SWPPP identify which perimeter sediment control BMPs will be used to prevent sediment from leaving the site? **(CPP Part 2.1.2)** Yes ☐ No ☐
- 5) If the project is within 50-feet of a waterbody, does the SWPPP contain descriptions of the dimensions of the 50-foot natural buffer, the alternative control measures (if less than 50-feet), and detailed explanations if either could not be applied? **(CPP Part 2.1.6 and 4.2.4)** Yes ☐ No ☐
N/A ☐
- 6) Does the SWPPP describe what track out controls (structural or non-structural) will be used to prevent dirt from being tracked on streets as vehicles leave the site? **(CPP Part 2.1.4)** Yes ☐ No ☐
- 7) Does the SWPPP identify whether any storm drain inlets are down gradient of (and receive discharges from) the site and describe what inlet protection BMPs will be used (if inlets are present)? **(CPP Part 2.1.3)** Yes ☐ No ☐
- 8) Does the plan have a BMP identified for stockpiles or spoil piles placement and/or containment to prevent interaction with concentrated flows and to prevent runoff from those piles and keep them from entering storm drains or water bodies? **(CPP Part 2.1.1)** Yes ☐ No ☐
N/A ☐
- 9) Does the project have a BMP identified to contain, dry, and dispose of any wash water from concrete, masonry, stucco, or paint (water-based)? **(CPP Part 2.2.6)** Yes ☐ No ☐
- 10) Does the SWPPP include waste management procedures for soil removal, clearing debris removal, demolition removal, trash disposal, construction-waste disposal, liquid waste disposal and/or sanitary waste disposal? **(CPP Part 2.2.4 and 4.2.8)** Yes ☐ No ☐
- 11) Does the SWPPP describe methods for the storage of construction materials that minimize exposure of materials with a pollution risk (certain building and landscaping materials, pesticides, herbicides, detergents, etc.)? **(CPP Part 2.2.2)** Yes ☐ No ☐
- 12) If the site has steep slopes (>70%), does the plan include measures to either stabilize those slopes using an appropriate BMP or to avoid disturbing those steeper areas? **(CPP Part 2.1.5.c and 2.1.7.c)** Yes ☐ No ☐
N/A ☐
- 13) If the site has conditions that can cause stormwater flows with highly erosive velocities, does the plan describe BMPs to control those flows and minimize sediment transport? **(CPP Part 2.1.5.d)** Yes ☐ No ☐
N/A ☐
- 14) If there are disturbed areas that will be left inactive for 30-days, does the plan provide a method of temporary/permanent stabilization for those areas? **(CPP Part 2.1.7.a)** Yes ☐ No ☐
N/A ☐
- 15) If the site is planned to be sold without landscaping, does the SWPPP include the installation of downslope erosion and sediment controls for the lot, prior to sale? **(CPP Part 1.6.7.b)** Yes ☐ No ☐
N/A ☐
- 16) Are the sequence and estimated dates of construction activities listed, which include the start and end of excavation activities, initial excavation, backfill excavation, and final grading, any temporary or permanent cessation of earth-disturbing activities, and the start and end of landscaping if it is done as part of the construction activity before the home is sold? **(CPP Part 4.2.2.a-c)** Yes ☐ No ☐

COMMON PLAN PERMIT (CPP) SWPPP EVALUATION (continued)

- 17) Is a site map or chart (may be hand drawn) included in the SWPPP which shows the permit required features? (**CPP Part 4.2.3.a-h**)
NOTE: Permit required map features include: **a)** Boundaries of property (**CPP Part 4.2.3.a**); **b)** Boundaries of soil surface disturbances, including any outside of the property boundaries (**CPP Part 4.2.3.b**); **c)** Slopes, including areas of steep slopes (**CPP Part 4.2.3.c**); **d)** Locations of stockpiles of soils, storage of construction materials, portable toilets, trash containers, concrete washout pits or containers, egress points, and track out pads (**CPP Part 4.2.3.d**); **e)** Water bodies, wetlands, and natural buffer areas (**CPP Part 4.2.3.e**); **f)** Locations and types of BMPs (or storm water control measures) for the control and/or treatment of storm water flowing onto, through, and/or off-site (**CPP Part 4.2.3.f**); **g)** Locations of storm water inlets and/or storm water discharge points going off-site (**CPP Part 4.2.3.g**); **h)** Areas that will be temporarily or permanently stabilized during the construction period (**CPP Part 4.2.3.h**)
- Yes ☐ | No ☐
-
- 18) Does the SWPPP include a list of the construction site pollutants that are anticipated on-site, including the pollutant-generating activities and an inventory of pollutants for each pollutant-generating activity? (**CPP Part 4.2.6**)
- Yes ☐ | No ☐
-
- 19) Is a plan included in the SWPPP which prevents the discharge of pollutants from spills and leaks by implementing procedures for preventing and responding to chemical leaks and spills (e.g., spill kits or absorbent materials).? (**CPP Part 2.2.3**)
- Yes ☐ | No ☐
-
- 20) Does the SWPPP include BMP specifications and/or details that ensure effectiveness and compliance with permit requirements for all structural or non-structural sediment, erosion, and pollution prevention BMPs? (**CPP Part 4.2.7**)
- Yes ☐ | No ☐
-
- 21) Does the SWPPP identify the first receiving water that the site discharges into, whether the water is impaired, and if so, what the impairment is for? (**CPP Part 4.2.5**)
- Yes ☐ | No ☐
-
- 22) If the receiving water identified in the SWPPP is impaired for sediment or nutrients, does the SWPPP identify BMPs to minimize and prevent the discharge of those pollutants? (**CPP 1.5**)
- Yes ☐ | No ☐
N/A ☐
-
- 23) If the permit, SWPPP, and/or inspections signatory obligations will be handled by a duly authorized signatory (**CPP Part 5.9.2**), is there a written and signed delegation of authority included in the SWPPP that shows this person/position was delegated signatory responsibilities? (**CPP Part 5.9.3.a-b**)
- Yes ☐ | No ☐
N/A ☐
-
- 24) Is the SWPPP signed and certified by an authorized person in accordance with signatory requirements of CPP Part 5.9? (**CPP Part 4.2.11**)
- Yes ☐ | No ☐
-
- 25) Does the SWPPP include a copy of the Notice of Intent (NOI) that was submitted to DWQ to obtain coverage under the Common Plan Permit UTRH00000 (CPP Part 1.6) and a copy of the Authorization to Discharge Letter from DWQ? (**CPP Part 4.2.10**) **NOTE:** This would not be applicable for this review if the SWPPP is being reviewed prior to the operator obtaining permit coverage.
- Yes ☐ | No ☐
N/A ☐
-
- 26) **At the completion of this review, is the SWPPP approved and accepted as being in compliance with storm water regulations?**
NOTE: If not, specific comments will be provided below (or attached on a separate sheet if corrections are longer than the space provided) to clearly state why the SWPPP is non-compliant and logged in an index of modifications that includes citations to the permit requirements, local ordinances, state law, or federal law that require the modification. (Utah Code 19-5-108.3(6)(c))
- Yes ☐ | No ☐
-
- 27) Is this site designated by the MS4 as "Priority" based on the following factors: Soil erosion potential; Site slope; Project size and type; Sensitivity of receiving waterbodies (impaired or high-quality waters); Proximity to receiving waterbodies; or non-storm water discharges and past record of non-compliance by the operators of the construction site? **NOTE: This is an internal question for MS4s to meet MS4 Permit requirements for prioritizing certain construction sites for increased inspections and does not affect the approval of the SWPPP. (MS4 Permit Part 4.2.4.3.5)** List the applicable prioritization factors: _____
- Yes ☐ | No ☐
N/A ☐

INDEX OF COMMENTS AND CORRECTIONS (WITH CITATIONS) FOR ACHIEVING SWPPP COMPLIANCE (attach an additional comments page if more space is needed)

Reviewer (Print Name): _____ Title: _____ Signature: _____ Date: _____